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GAU- 1638

(M dified) PTO/SB/21 (6-98)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/373,333

Filing Date

August 12, 1999

First Named Inventor

Venkateswarar

Group Art Unit

Unassigned

Examiner Name

Unassigned

Total Number of Pages in This Submission

13

Attorney Docket Number

02-025630US

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

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Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

The Law Offices of Jonathan Alan Quine

Signature

Jonathan Alan Quine

Date

April 5, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 5, 2000

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Alexandra Allison

Signature

Alexandra Allison

Date

April 5, 2000



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On April 5, 2000

The Law Offices of Jonathan Alan Quine

By Alexandra Allison
Alexandra Allison

Attorney Docket No. 02-025630US
Client Ref. No. 0113.004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Venkiteswatan Subramanian, *et al.*

Application No.: 09/373,333

Filed: August 12, 1999

For: DNA SHUFFLING TO PRODUCE
HERBICIDE SELECTIVE CROPS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

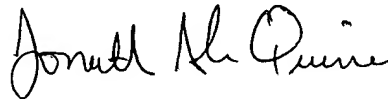
Sir:

The references cited on the attached PTO-1449 form are being called to the attention of the Examiner. Copies of the references are enclosed. As some of the references cited in the enclosed PTO1449 form are from the International Search Report dated January 28, 2000, for PCTUS99/18394, a copy is enclosed for your reference.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the

Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

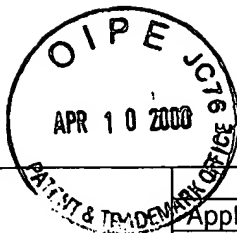
Respectfully submitted,

A handwritten signature in cursive script, reading "Jonathan Alan Quine".

Jonathan Alan Quine
Reg. No. 41,261

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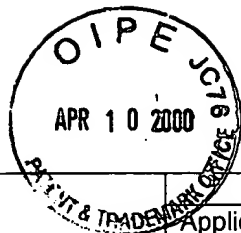


Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
	Application Number	09/373,333
	Filing Date	August 12, 1999
	First Named Inventor	Venkiteswatan Subramanian
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	02-025630US

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	AA	5,512,463		Stemmer	04-30-1996	
	AB	5,514,588		Varadaraj	05-07-1996	
	AC	5,605,793		Stemmer	02-25-1997	
	AD	5,763,239		Short et al.	06-09-1998	
	AE	5,789,228		Lam et al.	08-04-1998	
	AF	5,811,238		Stemmer et al.	09-22-1998	
	AG	5,814,473		Warren et al.	09-29-1998	
	AH	5,830,696		Short	11-03-1998	
	AI	5,830,721		Stemmer et al.	11-03-1998	
	AJ	5,834,252		Stemmer et al.	11-10-1998	
	AK	5,837,458		Minushull et al.	11-17-1998	
	AL	5,876,997		Kretz	03-02-1999	
	AM	5,925,749		Mathur, et al.	07-20-1999	
	AN	5,928,905		Stemmer, et al.	07-27-1999	
	AO	5,939,250		Short, Jay M.	08-17-1999	
	AP	5,939,300		Robertson, et al.	08-17-1999	
	AQ	5,942,430		Robertson, et al.	08-24-1999	
	AR	5,948,666		Callen, et al.	09-07-1999	
	AS	5,958,672		Short, Jay M.	09-28-1999	
	AT	5,958,751		Murphy, et al.	09-28-1999	
	AU	5,962,258		Mathur, et al.	10-05-1999	
	AV	5,962,283		Warren et al.	10-05-1999	
	AW	5,965,408		Short, Jay M.	10-12-1999	
	AX	5,985,646		Murphy, et al.	11-16-1999	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

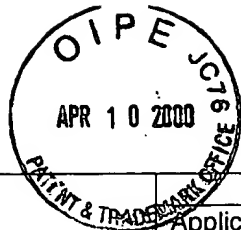


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	First Named Inventor	Venkiteswatan Subramanian
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	02-025630US

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	AY	EP	0293358A		Monsanto Co.	11-30-1988		
	AZ	WO	92/06201		Monsanto Co.	04-16-1992		
	BA	WO	97/07205		Novo Nordisk A/S	02-27-1997		
	BB	WO	97/20078		Affymax Technologies	06-05-1997		
	BC	WO	97/25410		Novo Nordisk A/S	07-17-1997		
	BD	WO	97/35957		Maxygen, Inc.	10-02-1997		
	BE	WO	97/35966		Maxygen, Inc.	10-02-1997		
	BF	WO	97/44361		Recombinant Biocatalysis Inc.	11-27-1997		
	BG	WO	97/48416		Recombinant Biocatalysis, Inc.	12-24-1997		
	BH	WO	97/48717		Recombinant Biocatalysis Inc	12-24-1997		
	BI	WO	97/48794		Recombinant Biocatalysis Inc	12-24-1997		
	BJ	WO	98/00526		Recombinant Biocatalysis Inc	01-08-1998		
	BK	WO	98/01581		Recombinant Biocatalysis Inc	01-15-1998		
	BL	WO	98/13485		Maxygen, Inc.	04-02-1998		
	BM	WO	98/13487		Maxygen, Inc.	04-02-1998		
	BN	WO	98/24799		Diversa Corp.	06-11-1998		
	BO	WO	98/27230		Maxygen, Inc.	06-25-1998		
	BP	WO	98/28416		Novo Nordisk A/S	07-02-1998		
	BQ	WO	98/31816		University of Minnesota	07-23-1998		
	BR	WO	98/31837		Maxygen, Inc.	07-23-1998		
	BS	WO	98/36080		Maxygen, Inc.	08-20-1998		

Examiner Signature		Date Considered	
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	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	02-025630US

	BT	WO	98/41622		Novo Nordisk A/S	09-24-1998		
	BU	WO	98/41623		Novo Nordisk A/S	09-24-1998		
	BV	WO	98/41653		Novo Nordisk A/S	09-24-1998		
	BW	WO	98/42832		California Institute of Technology	10-01-1998		
	BX	WO	98/48034		Diversa Corp.	10-29-1998		
	BY	WO	98/54330		Zeneca Ltd.	12-03-1998		
	BZ	WO	98/58085		Diversa Corp.	12-23-1998		
	CA	WO	99/07837		Diversa Corp.	02-19-1999		
	CB	WO	99/07865		Kimeragen Inc.	02-18-1999		
	CC	WO	99/08539		Diversa Corp.	02-25-1999		
	CD	WO	99/10472		Diversa Corp.	03-04-1999		
	CE	WO	99/10539		Diversa Corp.	03-04-1999		
	CF	WO	99/19518		Diversa Corp.	04-22-1999		
	CG	EP	0911396A2		Maxygen, Inc.	04-28-1999		
	CH	WO	99/21979		Maxygen, Inc.	05-06-1999		
	CI	EP	0911396A3		Maxygen, Inc.	05-06-1999		
	CJ	WO	99/23107		Maxygen, Inc.	05-14-1999		
	CK	WO	99/23236		Diversa Corp.	05-14-1999		
	CL	WO	99/25853		Pioneer Hi Bred Int.	05-27-1999		
	CM	EP	0934999A1		Maxygen, Inc.	08-11-1999		
	CN	WO	99/41369		Maxygen, Inc.	08-19-1999		
	CO	WO	99/41383		Maxygen, Inc.	08-19-1999		
	CP	WO	99/41402		Maxygen, Inc.	08-19-1999		
	CQ	WO	99/45154		Diversa Corp.	09-10-1999		
	CR	WO	99/57128		Maxygen, Inc.	11-11-1999		
	CS	WO	99/65927		Maxygen, Inc.	12-23-1999		

Examiner Signature		Date Considered	
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